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Intellectual Property Law

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TO: Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Mail Stop Amendment

FACSIMILE NO.: (703) 872-9306  
OUR REF.: SITECH.004A  
YOUR REF.: U.S. Patent Application No. 09/974,725; filed October 9, 2001  
FROM: Bruce S. Itchkawitz  
OPERATOR: Sue Miller NO. OF PAGES: 20 (incl. cover sheet)  
DATE: September 20, 2004

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**SEE ATTACHED.**

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**PATENT**

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Case Docket No. SITECH.004A  
Date: September 20, 2004

SEP 20 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Shiho Wang et al.  
Appl. No. : 09/974,725  
Filed : October 9, 2001  
For : SOL-GEL PROCESS UTILIZING  
REDUCED MIXING TEMPERATURES  
Examiner : Daniel S. Metzmaier  
Group Art Unit : 1712

Certificate of Service

I hereby certify that this correspondence and all marked attachments are being faxed to the Commissioner for Patents, Fax No. (703) 872-9306, on

September 20, 2004

(Date)

Bruce S. Itchkawitz, Reg. No. 47,677

**TRANSMITTAL LETTER**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Per my telephone conversation with Examiner Daniel S. Metzmaier this morning, enclosed are copies of documents filed on June 11, 2004 in the above-identified application:

- (X) Letter to Examiner Metzmaier;
- (X) Amendment / Response Transmittal;
- (X) Amendment and Response to March 16, 2004 Office Action;
- (X) A Supplemental Information Disclosure Statement, together with PTO Form 1449 listing ten (10) references;
- (X) A copy of the check in the amount of \$180.00 to cover the Supplemental Information Disclosure Statement fee and a copy of the return prepaid postcard; and
- (X) A copy of the return prepaid postcard date-stamped by the PTO.

Bruce S. Itchkawitz  
Registration No. 47,677  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

H:\DOCS\BS\BSI-6753.DOC\092004

# **Knobbe Martens Olson & Bear LLP**

*Intellectual Property Law*

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Bruce S. Itchkawitz, Ph.D.  
bsi@kmob.com

September 20, 2004

**VIA FACSIMILE ONLY**

Examiner Dan Metzmaier  
Group Art Unit 1712  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: U.S. Patent Application No. 09/974,725; filed October 9, 2001  
Our Reference: SITECH.004A

Dear Examiner Metzmaier:

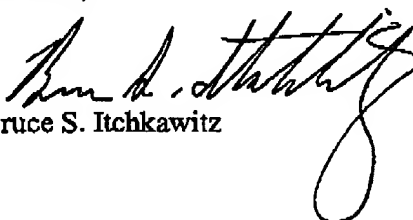
Thank you for your telephone call this morning informing me that you have no record of Applicants' response to the March 16, 2004 Office Action regarding the above-referenced U.S. patent application. As you requested, attached are courtesy copies of the following documents filed June 11, 2004:

1. Amendment/Response Transmittal;
2. Amendment and Response to March 16, 2004 Office Action;
3. Supplemental Information Disclosure Statement, together with PTO Form-1449 listing ten (10) references; and
4. Copy of check for IDS and return postcard.

In addition, a copy of the PTO-stamped return postcard indicating that the documents were received by the PTO on June 17, 2004 is also attached.

Copies of this letter and the attached documents will also be faxed to the PTO's central fax number to be entered in the PTO's records. Please do not hesitate to contact me if you have any further questions.

Sincerely,

  
Bruce S. Itchkawitz

Enclosures  
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092004

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Docket No.: SITECH.004A

SEP 20 2004

Customer No.: 20,995

**AMENDMENT / RESPONSE TRANSMITTAL**

Applicants : Shiho Wang et al.  
 App. No. : 09/974,725  
 Filed : October 9, 2001  
 For : SOL-GEL PROCESS UTILIZING  
 REDUCED MIXING TEMPERATURES  
 Examiner : Daniel S. Metzmaier  
 Art Unit : 1712

Certificate Of Mailing

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

June 11, 2004

(Date)

*Bruce S. Ilichkowitz*  
 Bruce S. Ilichkowitz, Reg. No. 47,677

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing in the above-identified application are the following enclosures:

- (X) An Amendment and Response to March 16, 2004 Office Action in eleven (11) pages.
- (X) A Supplemental Information Disclosure Statement and Form PTO-1449, listing ten (10) references, with copies of all references
- (X) A \$180.00 check for the filing fee of the Supplemental Information Disclosure Statement.
- (X) A return prepaid postcard.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.

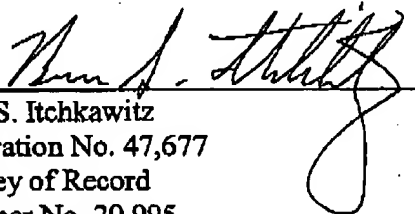
The fee has been calculated as shown below:

FEE CALCULATION				
FEE TYPE		FEE CODE	CALCULATION	TOTAL
Total Claims	41 - 50 = 0	2202 (\$9)	0 x 9 =	0
Independent Claims	5 - 5 = 0	2201 (\$43)	0 x 43 =	0
Multiple Claim		2203 (\$145)		0
1 Month Extension		2251 (\$55)		0
2 Month Extension		2252 (\$210)		0
3 Month Extension		2253 (\$475)		0
			<b>TOTAL FEE DUE</b>	<b>0</b>

Docket No.: SITECH.004A

Customer No.: 20,995

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.



Bruce S. Itchkawitz  
Registration No. 47,677  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

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061104

**UTILITY/DESIGN PATENT**

(amend/final/amend/appeal)

Date: 10-11-04  
Date of Action: 3-16-04

Rec'd in the USPTO on the date stamped hereon via Certificate of Mail:  
Docket #: 57204.004 Applicant: Shine Long et al

Title: 501-661 PROCESS UTILIZING REMOVED NYING TEMPERATURES  
App No.: 091914.785 Filed: 10-9-01 AC: 110

VERIFIED BY: Ass: 500 Atty: BSI

- ☒ Transmittal Letter
- ☒ Amendment in 11 pgs
- ☐ Month Extension Requested
- ☒ Information Disclosure Statement with PTO-1449 w/ 10 Ref(s)
- ☐ Terminal Disclaimer in      pgs
- ☐ Sequence Submission Statement
- ☐ Sequence Listing in      pgs
- ☐ copies of CRF Containing Seq List
- ☒ 0 copies of CRF
- ☐ Reim Prepaid Postcard
- ☐ Req for Drawing Changes/Corrections
- ☐ sheets of RED-Lined Drawings
- ☐ Notice of Appeal in Duplicate
- ☐ Appeal Brief in      pgs in Triplicate
- ☐ Request for Oral Hearing
- ☒ \$ 180.00 Check for Filing Fees

(7/14/03)

**KNOBBE MARTENS OLSON, & BEAR**

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DATE June 11, 2004

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FOR 57204.004/091914.785/BSI

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*[Signature]*

64628

**UTILITY/DESIGN PATENT**

(amend/initial/amend/appeal)

Date: 6-11-04

Date of Action: 3-16-04

Rec'd in the USPTO on the date stamped hereon via Certificate of Mailing

Docket #: SITECH 004A

Applicant: Shing Wang et al.

Title: SOI-GE PROCESS METHOD, REDUCED MIXING TEMPERATURES

App No: 09/017,851

Filed: 10-2-01

VERIFIED BY: Asst

BSI

QC

☒ Transmittal Letter

☒ Amendment in 3 pgs

☐ Month Extension Requested

☒ Information Disclosure Statement with  
PTO 1449 w/ 10 Refs/TRADE

☐ Terminal Disclaimer in 1 pgs

☐ Sequence Submission Statement

☐ Sequence Listing in 1 pgs

☐ copies of CDS Containing Seq List

☒ Cases 25 refs

☒ Return Prepaid Postcard

☐ Req for Drawing Changes/Corrections

☐ sheets of RED-Lined Drawings

☐ Notice of Appeal in Duplicate

☐ Appeal Brief in 1 pgs in Typicals

☐ Request for Oral Hearing

☐ \$ 150.00 Check for Filing Fees

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INTELLECTUAL PROPERTY LAW

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Patent, Trademark, Copyright, Litigation, Arbitration